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Attorney for Applicant(s)



01-07-2002

U.S. Patent & TMO/TM Mail Rcpt Dt. #76

PATENT

Docket No. 3201.2.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Joseph V. Simmons et al.)
Serial No.: 09/976,187)
Filing Date: October 12, 2001) Art Unit:
For: HEATING, VENTILATING, AND AIR-) 2123
CONDITIONING DESIGN APPARATUS)
AND METHOD)

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner
for Patents
Washington, D.C. 20231

Sir:

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This Information Disclosure Statement discloses information which has come to the attention of Applicant(s) and/or their attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Neither applicant(s) nor their attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103. Moreover, pursuant to 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement shall not

be construed as a representation that a search has been made or as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.98, this Information Disclosure Statement includes and is accompanied by:

1. A completed copy of Form PTO-1449 listing the patents, publications and other information being submitted for consideration; and
2. A legible copy of each patent, publication and other item of information in written form listed on the enclosed Form PTO-1449.

DATED this 3rd day of January, 2002.

Respectfully submitted,



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Date: January 3, 2002

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FORM PTO-1449

**LIST OF PATENTS AND
PUBLICATIONS
FOR APPLICANT'S INFORMATION
DISCLOSURE STATEMENT**

(use several sheets if necessary)

SERIAL NO.

09/976,187

ATTORNEY DOCKET NO.

3201.2.1

FILING DATE

October 12, 2001

GROUP ART UNIT

APPLICANT(S):

Joseph V. Simmons et al.

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,293,479	03/08/94	Quintero et al.	295/161	04/20/92
	A2	5,227,983	07/13/93	Cox et al.	364/512	12/07/92
	A3	4,885,694	12/05/89	Pray et al.	364/464.01	04/29/87
	A4	6,131,077	10/12/00	Normann et al.	703/1	04/30/98
	A5	6,169,987	01/02/01	Knoblock et al.	707/10	03/25/97
	A6	4,694,060	10/16/90	Hartsog	364/512	12/04/85

OTHER DOCUMENTS

EXAMINER INITIAL		Including Author (if any), Title, Pertinent Pages, Date and Place of Publication DOCUMENT CITATION

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).